

SIGNIFICANT INVESTOR VISA (SIV) COMPLIANT FUND LIST



This document was last updated on 17 September 2021

This fund list contains the names of **FSC Member Organisations that offer or intend to offer** SIV Compliant Funds. The list can be used as a resource for potential investors to contact these organisations to discuss potential investments under the SIV framework. FSC does not guarantee that every fund on this list is currently compliant or will remain compliant and FSC does not make any representation in relation to the complying status of such funds.

The “SIV Compliant Funds” named on this page were considered to be funds which were compliant with Legislative Instrument IMMI 15/100: *Complying Investments* of 25 June 2015, made pursuant to regulation 5.19C of the *Migration Regulations 1994*, outlined in Austrade’s Significant Investor Visa Complying Investment Framework. Alternatively, organisations have been named who have advised us that they intend to provide a compliant fund over the next 12 months.

None of Austrade, FSC or the product issuers, is under any obligation to notify you of any changes to a product’s SIV complying status and you should confirm with the relevant product issuers that their products listed herein remain SIV compliant, before taking any action. Neither Austrade nor FSC were involved in the assessment of these funds against the SIV Complying Investment Framework, and their inclusion on this webpage is in no way an endorsement of any of the funds; whether as to complying status or of any other matter.

This webpage contains general advice only and is information provided for reference purposes. In preparing this webpage neither FSC, Austrade nor any of the product issuers named took into account the investment objectives, financial situation and particular needs of any particular person. Accordingly, before acting on any advice or information contained on this page, you should make your own enquiries, contact your professional adviser and/or assess whether the products are appropriate in light of your own circumstances.

| Name of organisation | Key contact | Potential Compliant funds |
|--|--|---|
| Aberdeen Standard Investments Australia Limited | Christine Koffi Manager, Product Management and Development Ph: +61 2 9950 2820 E: Christine.Koffi@aberdeen-asset.com | <ul style="list-style-type: none"> Aberdeen Standard Focused Sustainable Australian Equity Fund (ARSN 087 868 264) Aberdeen Standard Ex-20 Australian Equities Fund (ARSN 088 905 426) |
| Australian Unity Investments | Adam Kirk Ph: +61 2 9256 8851 Mob: +61 403 515 401 E: akirk@australianunity.com.au | <u>Emerging companies investment</u> <ul style="list-style-type: none"> Acorn Capital Microcap Fund <u>Venture capital</u> <ul style="list-style-type: none"> Acorn Capital Private Opportunities Fund <u>Balancing investment</u> <ul style="list-style-type: none"> Australian Unity Diversified Property Fund |
| Challenger Retirement and Investment Services Limited (Challenger) | Challenger Investor Services team Ph: 13 35 66 or +61 2 9994 7000 (if calling from outside Australia) E: info@challenger.com.au | <u>Balancing investment</u> <ul style="list-style-type: none"> Challenger Guaranteed Income Fund <u>Emerging companies investment</u> <ul style="list-style-type: none"> NovaPort Microcap Fund |
| Colonial First State | George Walker Head of Investment Sales P: +61 2 9303 7052 M: +61 418 211 560 E: georgewalker@colonialfirststate.com.au | <u>Balancing investments</u> <ul style="list-style-type: none"> Colonial First State Wholesale Indexed Australian Share Colonial First State Wholesale Indexed Property Securities |

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| First Sentier Investors (previously Colonial First State Global Asset Management) | Chris King Head of Investment Sales and Key Accounts, Business Development- A&NZ P: +61 2 9010 5249 M: +61 413 984 600 E: Chris.King@firstsentier.com | <u>Emerging companies</u> <ul style="list-style-type: none"> First Sentier Wholesale Developing Companies <u>Balancing investments</u> <ul style="list-style-type: none"> First Sentier Wholesale Concentrated Australian Share First Sentier Wholesale Equity Income First Sentier Wholesale Property Securities Colonial First State Wholesale Indexed Australian Share Colonial First State Wholesale Indexed Property Securities |
| Macquarie Group Limited | Jimmy Byrne Ph: +61 2 8232 0604 E: jimmy.byrne@macquarie.com E: mim.clientservice@macquarie.com | <u>Emerging companies investment</u> <ul style="list-style-type: none"> Macquarie Australian Emerging Companies Fund |
| MA Financial Group (formerly Moelis Australia) | Enda Stankard Managing Director P: 02 8288 5530 E: enda.stankard@moelisaustralia.com | <u>Emerging companies investment</u> <ul style="list-style-type: none"> Moelis Australia SIV Public Investment Fund <u>Venture capital</u> <ul style="list-style-type: none"> Moelis Australia Growth Capital Fund II <u>Balancing investment</u> <ul style="list-style-type: none"> Moelis Australia SIV Property Fund Moelis Australia SIV Bond Income Fund Moelis Australia SIV Fixed Income Fund Moelis Australia SIV General Equities Fund Moelis Australia SIV Infrastructure Equities Fund Moelis Australia SIV REIT Equities Fund |
| Pindari Capital Pty Ltd | Edward Hewitt-Taylor Managing Director P: 02 9958 9008 E: edward@pindaricapital.com.au | <u>Emerging companies investment</u> <ul style="list-style-type: none"> Pindari Capital SIV Emerging Companies Fund <u>Venture capital</u> <ul style="list-style-type: none"> Pindari Capital SIV Venture Capital and Private Equity Fund I Pindari Capital SIV Venture Capital and Private Equity Fund II <u>Balancing investment</u> <ul style="list-style-type: none"> Pindari Capital SIV Balancing Investments Fund Pindari Capital SIV Balancing Investments Yield Fund <u>Pre July 2015 SIV Investment Fund</u> <ul style="list-style-type: none"> Pindari Capital Real Estate Fund |

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| Real Asset Management Pty Ltd (RAM) | <p>Scott Kelly Managing Director, CEO Australia P: +61 2 8880 6685</p> <p>E: scott.kelly@ram.com.au</p> | <p><u>Emerging companies investment</u></p> <ul style="list-style-type: none"> RAM 188 ECT Capital Stable Fund RAM 188 Emerging Companies Trust <p><u>Venture capital</u></p> <ul style="list-style-type: none"> RAM Australia Private Opportunities Fund <p><u>Balancing investment</u></p> <ul style="list-style-type: none"> RAM Diversified Fixed Income Fund RAM 188 Bond Trust RAM 188 Notice Account RAM 188 Corporate Bond Trust RAM Australia Retail Property Fund RAM Australia Medical Property Fund |
| State Street Global Advisors | <p>Wendy Meehan Platform & Research House Relationships P: +61 2 9240 7612</p> <p>E: wendy_meehan@snga.com</p> | <p><u>Balancing investment</u></p> <ul style="list-style-type: none"> State Street Australian Equity Fund (ARSN 139 341 978) |
| UBS Asset Management (Australia) Ltd | <p>UBS Client Services</p> <p>E: clientservices-ubsam@ubs.com</p> | <p><u>Emerging companies investment</u></p> <ul style="list-style-type: none"> UBS Australian Small Companies SIV Fund |

PREMIUM INVESTOR VISA COMPLIANT FUND LIST

| Name of organisation | Key contact | Type of compliant fund/s |
|----------------------|---|--|
| Challenger Life | <p>Challenger Investor Services team Ph: 13 35 66 or +61 2 9994 7000 (if calling from outside Australia)</p> <p>E: info@challenger.com.au</p> | <ul style="list-style-type: none"> Challenger Guaranteed Annuity <p><i>Immediate annuity product issued by Challenger Life.</i></p> |